PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL Application Number 10/017,754 Filing Date October 29, 2001 **FORM** First Named Inventor Robert A. Henderson Group Art Unit 1645 To be used for all correspondence **Examiner Name** after initial filing) Not Assigned Attorney Docket No. 210121,478C18 ENCLOSURES (check all that apply) Fee Transmittal Form **Assignment Papers** CD(s), Number Fee Attached (for an Application) of CD(s) Amendment/Response Drawing(s) After Allowance Request for Corrected Filing After Final Communication to Group Receipt Affidavits/declaration(s) Appeal Communication to Licensing-related Papers Extension of Time Request Board of Appeals and Petition Interferences **Express Abandonment** Request Petition to Convert to a Appeal Communication to **Provisional Application** Group (Appeal Notice, Brief, Supplemental Information Reply Brief) Disclosure Statement; Form Power of Attorney. PTO-1449 Revocation, Change of Proprietary Information Correspondence Address Cited References (7) Status Letter Declaration Certified Copy of Priority Return Receipt Postcard Statement under 37 CFR Document(s) Additional Enclosure(s) 3.73(b)Response to Missing Parts (please identify below): under 37 C.F.R. 1.52 or 1.53 Terminal Disclaimer Copy of Invitation to Pay Response to Missing Small Entity Statement Additional Fees for Parts/Incomplete Application Request for Refund PCT/US01/22058 Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name William T. Christiansen, Ph.D. 44,614 00500 PATENT TRADEMARK OFFICE Signature Date July 19, 2002 **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below. Typed or printed name Lawrence Teague Signature Date: July 19, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Robert A. Henderson et al.

Application No.

10/017,754

Filed

: October 29, 2001

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Art Unit

: 1645

Docket No.

: 210121.478C18

Commissioner for Patents and Trademarks Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in an Invitation to Pay Additional Search Fees for corresponding foreign application PCT/US01/22058, mailed June 12, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

William T. Christiansen, Ph.D. Registration No. 44,614

WTC:CDL:ljt

Enclosures:

Postcard
Form PTO-1449
Form PTB/SB/21
Copies of Cited References (7)
Copy of Invitation to Pay Additional Fees for PCT/US01/22058

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	AO		Zangemeister-Wittke and Stahel, "Novel approaches to the treatment of small-cell lung cancer," CMLS, Cell. Mol. Life Sci. 55(12):1585-1598, September 1999.							
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